Docket No.

260501US0PCT

IN THE TES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Norbert STEINER, et al.

SERIAL NO: 10/518,595

GAU:

1724

FILED:

December 21, 2004

EXAMINER:

FOR:

ECOFRIENDLY CATIONIC POLYELECTROLYTES

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references cited in the attached German Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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JAN 1 7 2007 SHEET 1 OF 1

ATTY DOCKET NO. SERIAL NO. U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Form PTO 1449 (Modified) 260501US0PCT 10/518,595 APPLICANT LIST OF REFERENCES CITED BY APPLICANT Norbert STEINER, et al. FILING DATE **GROUP** 1724 December 21, 2004 **U.S. PATENT DOCUMENTS** DOCUMENT SUB FILING DATE **EXAMINER** CLASS DATE NAME INITIAL NUMBER CLASS IF APPROPRIATE AA 2,831,841 04/22/1958 Giffin D. JONES, et al. AB **FOREIGN PATENT DOCUMENTS** DOCUMENT TRANSLATION DATE COUNTRY NUMBER YES NO DE 197 52 128 A1 07/29/1999 Germany х AD DE 197 52 127 A1 07/29/1999 Germany Х Х DE 197 48 153 A1 05/06/1999 AE Germany Х AF DE 197 04 953 A1 08/13/1998 Germany AG DE 100 41 393 A1 03/07/2002 Germany Х 03/07/2002 Х AH DE 100 41 392 A1 Germany Х DE 41 38 763 A1 05/27/1993 ΑI Germany Х AJ DE 40 24 207 A1 02/06/1992 Germany Х ΑK DE 38 00 199 A1 07/20/1989 Germany 03/10/1988 Х ΑL DE 37 27 229 A1 Germany х AM DE 30 46 978 A1 09/17/1981 Germany DE 28 23 830 A1 01/11/1979 Х AN Germany DE 25 08 346 A1 08/28/1975 Х AO Germany х AP WO 02/06097 A1 01/24/2002 WIPO (With English Abstract) OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) Ernst BARTHOLOMÉ, et al., "Ullmanns Encyklopädie der technischen Chemie", Verlag Chemie, Pages 15-16 AQ Heinz HORACEK, "Fette und Öle Fettderivate Folgeprodukte", Fett-, Öl-, Tensid-, Kosmetik- und Pharmaindustrie, July, AR 1988, Vol. 114, 11/12, Pages 447-455
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